

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Masahiko BANNO

Serial No. : [Rule 1.53(b) Contin. of 09/918,914] Group Art Unit: 2655

Date Filed : Concurrently Herewith Examiner: M. N. Edun

For : OPTICAL DISK DEVICE CONTROLLING A REVOLUTION OF A  
RECORDABLE OPTICAL DISK ACCORDING TO A DISPLACEMENT  
BETWEEN A PHASE OF A SECTOR SYNCHRONIZING SIGNAL  
GENERATED FROM A DATA-WRITING REFERENCE CLOCK SIGNAL  
AND A PHASE OF A SYNCHRONIZING SIGNAL OBTAINED FROM  
ADDRESS INFORMATION

**Mail Stop Patent Application**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING**Express Mail mailing label No.: EV325706486USDate of Deposit: September 24, 2003

I hereby certify that the above-identified application (which is a Rule 1.53(b) continuation of S.N. 09/918,914 filed July 31, 2001), consisting of a 3-page continuation application transmittal (in triplicate), copy of parent application S.N. 09/918,914 filed July 31, 2001 [including 74-page specification, claims 1-14 and abstract], copy of the Declaration and Power of Attorney in the parent application, 13 sheets of formal drawings (Figs. 1-15), Information Disclosure Statement (including Form PTO-1449), and a check for the \$750.00 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 24, 2003

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Masahiko BANNO  
Inventor(s)

OPTICAL DISK DEVICE CONTROLLING A REVOLUTION OF A RECORDABLE OPTICAL DISK ACCORDING TO A DISPLACEMENT BETWEEN A PHASE OF A SECTOR SYNCHRONIZING SIGNAL GENERATED FROM A DATA-WRITING REFERENCE CLOCK SIGNAL AND A PHASE OF A SYNCHRONIZING SIGNAL OBTAINED FROM ADDRESS INFORMATION

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification, total pages 74 including:
  - a. 7 pages of claims (1-14)
  - b. 1 page(s) Abstract
2. X 13 sheets of      informal X formal drawings (Figs. 1-15)
3. X Oath or declaration of Applicant(s) (4 total pages)
  - a.      Newly executed (original)
  - b.      Unexecuted
  - c. X Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.      Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. X Incorporation By Reference  
The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.
6.      Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7.      An assignment (cover sheet & document(s))
8.      37 CFR 3.73(b) Statement (when there is an assignee)
9. X Power of Attorney
  - a.      Newly executed (original)
  - b.      Unexecuted
  - c. X Copy from a prior application

10. X Information Disclosure Statement (IDS)/PTO-1449  
\_\_\_\_ Copies of IDS Citations
11. \_\_\_\_ A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. \_\_\_\_ Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27
14. X If a Continuing Application, *check the appropriate box and supply the requisite information:*  
    X Continuation of prior application No.: 09/918,914 filed July 31, 2001  
    \_\_\_\_ Divisional of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_  
    \_\_\_\_ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_
15. X Amend the specification by inserting before the first line of page one: --This is a Rule 1.53(b) continuation and claims the benefit of priority, of application Serial No. 09/918,914 filed July 31, 2001.--
16. X Priority of application No. 2000-236898 filed in Japan on August 4, 2000. Applicant hereby claims priority under 35 U.S.C. §119.  
    \_\_\_\_ A certified copy of priority application Nos. \_\_\_\_\_ will be submitted in due course.  
    X A certified copy of priority application No. 2000-236898 has been filed in prior application S.N. 09/918,914 filed July 31, 2001.
17. \_\_\_\_ Other (identify) \_\_\_\_\_

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	14 - 20	=	0	x	\$9	\$18	=		\$0
Indep. Claims	2 - 3	=	0	x	\$42	\$84	=		\$0
Multiple Dependent Claims Presented: Yes ____ No <u>X</u>					\$140	\$280	=		\$0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$375.00	\$750.00
					Total Fee			\$	\$750.00


18. X A check in the amount of \$750.00 to cover the filing fee.
19.     A check in the amount of \$        for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. X Other (identify) Express Mail Certificate of Mailing bearing label no. EV325706486US.

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Respectfully submitted,

September 24, 2003  
Date

  
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